

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/660,382
Applicant : Graetz et al.
Filed : September 10, 2003
TC/A.U. : 1794
Examiner : Lee, Cynthia K
For : High-Capacity Nanostructured Silicon and Lithium Alloys Thereof
Docket No. : 26-06
Customer No.:23713

Confirmation No. 6022

Commissioner for Patents
MAIL STOP AMENDMENT
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF EFS-WEB FILING

I hereby certify that this correspondence is being filed
with the USPTO EFS-WEB system.

November 2, 2007

Date

/nicholascaruso/

Nicholas Caruso

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

The Examiner is respectfully requested to consider the references, copies enclosed, which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached form prepared by Applicants; this form is generally based on Patent and Trademark Office form PTO/SB/08a, but is not a USPTO form. Pursuant to 37 C.F.R. 1.98(a)(2)(ii), copies of the cited U.S. patent and U.S. patent application publication are not included, but will be provided upon request. Copies of three foreign patent documents and two non-patent literature document are enclosed herewith.

This information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of any accompanying form, whether such form is generated by Applicant or is a USPTO form, is not an admission that all

listed references are prior art. No representation is made that applicants know of the best art.

It is believed that this submission requires a fee of \$180.00 under C.F.R. 1.97(c)(2), which is being paid electronically in conjunction with this EFS submission. If this is not correct, or if problems are encountered using the EFS system, please charge any deficiency or refund any difference to deposit account no. 07-1969

Respectfully submitted,

Stephen B. Barone
Reg. No. 53,968

GREENLEE, WINNER AND SULLIVAN, P.C.

4875 Pearl East Circle, Suite 200

Boulder, CO 80301

Telephone: (303) 499-8080

Facsimile: (303) 499-8089

E-mail: winner@greenwin.com

Attorney docket No. 26-06

November 2, 2007